

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Hiroto YUKAWA et al. :
Serial No. NEW : **Attn: APPLICATION BRANCH**
Filed March 29, 2004 : Attorney Docket No. 2004-0499

POSITIVE-WORKING CHEMICAL-
AMPLIFICATION PHOTORESIST
COMPOSITION

**(Rule 1.53(b) Continuation
of Serial No. 10/126,673,
Filed April 22, 2002)**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. 10/126,673.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, **or**
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified


- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) submitted in application Serial No. 09/376,304,
 - c. ☐ the concise explanation contained in the specification of the present application at page ,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Hiroto YUKAWA et al.

By 
Matthew M. Jacob
Registration No. 25,154
Attorney for Applicants

MJ/nk
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
March 29, 2004

FORM PTO 149 (modified)

ATTY DOCKET NO.
2004-0499SERIAL NO.
NEWU.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)APPLICANT
Hiroto YUKAWA et al.

Date Submitted to PTO: March 29, 2004

FILING DATE
March 29, 2004

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,136,500	10/2000	Kobayashi et al.			
	AB	5,716,753	2/1998	Yoshimoto et al.			
	AC	5,272,035	12/1993	Sekiya			
	AD	5,861,231	1/1999	Barclay et al.			
	AE	5,744,281	4/1998	Niki et al.			
	AF	5,800,966	9/1998	Ueda et al.			
	AG	5,852,128	12/1998	Padmanaban et al.			
	AH	5,565,304	10/1996	Honda			
	AI	5,759,739	6/1998	Takemura et al.			
	AJ	6,187,504 B1	2/2001	Suwa et al.			
	AK	5,393,624	2/1995	Ushijima			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AL	1 447,956	11/1968	Germany				X
	AM	25 09 824	9/1975	Germany				X
	AN	0 653 681	5/1995	Europe				
	AO	0 701 173	3/1996	Europe				
	AP	0 540 032	5/1993	Europe				

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED